

10-31-00

SECTOR 1/4



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Jörg Winkler et al**Serial No: **09/587,722**Filed: **June 5, 2000**Title: **Method and Apparatus for  
Controlling ATM Streams**§  
§  
§  
§  
§  
§  
§  
§Art Unit: **2739**Examiner: **Unassigned**Law Firm: **Baker Botts, L.L.P.**Attorney Docket No. **068567.0102**Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

## CERTIFICATE OF MAILING

I certify that this paper or fee is being deposited with the U.S. Postal Service as EXPRESS MAIL EE879085713US on October 30, 2000 and is addressed to the Assistant Commissioner for Patents, Box Missing Parts Washington, D.C. 20231.

*Patricia Castellani*  
Signature

## TRANSMITTAL LETTER

Dear Sir:

Applicants hereby submit the following documents to be filed with the United States Patent and Trademark Office:

1. Response to Notice to File Missing Parts Mailed August 4, 2000;
2. Check in the amount of \$1150.00; and
3. Return Postcard.

The Commissioner is authorized to debit Deposit Account No. ~~02-0840~~ <sup>02-0384</sup> for any underpayment of fees that may be due in association with this filing under 37 C.F.R. §§ 1.16-1.18 for the entire prosecution of the above-referenced application.

Respectfully submitted,

Paul R. Morico

Reg. No. 35,960

Baker Botts, L.L.P.

910 Louisiana, 35th Floor

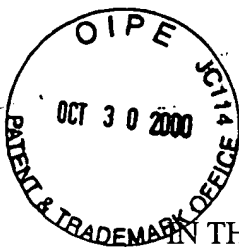
Houston, Texas 77002-4995

Tel: (713) 229-1732

Fax: (713) 229-7732

ATTORNEY FOR APPLICANTS

Date: October 30, 2000



PATENT

In re Application of:  
**Jörg Winkler et al**

Serial No: **09/587,722**

Filed: **June 5, 2000**

Title: **Method and Apparatus for  
Controlling ATM Streams**

§ Art Unit: **2739**  
§  
§  
§ Examiner: **Unassigned**  
§  
§  
§ Law Firm: **Baker Botts, L.L.P.**  
§  
§ Attorney Docket No. **068567.0102**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

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EE879085713us on October 30, 2000 and is addressed  
to the Assistant Commissioner for Patents, Box Missing  
Parts Washington, D.C. 20231.

*Kathleen Castellon*  
Signature

**EE879085713US**

**RESPONSE TO NOTICE TO FILE MISSING PARTS MAILED AUGUST 4, 2000**

Dear Sir:

Applicants hereby submit the executed Declaration of Inventors in response to the Notice File Missing Parts of Nonprovisional Application mailed August 4, 2000. Also enclosed is a check for \$1150.00 for payment of the basic and late filing fees.

Please note that a Petition for Extension of Time under 37 C.F.R. § 1.136(a) for a second month extension was filed on October 24, 2000, in anticipation of not receiving the Declaration from the European Inventors in time to meet the deadline of November 4, 2000. An one month Petition for Extension of time had been filed on September 29, 2000. As we are filing the Notice to File Missing Parts before the end of the first month's extension, November 4, 2000, we request that the fee for the second month extension of \$270.00 be refunded to our Deposit Account No.

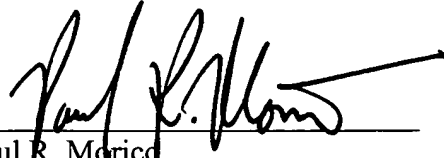
~~02-0840.~~

02-0384

The Commissioner is further authorized to debit our Deposit Account No. 02-0840 for

any underpayment of fees that may be due in association with this filing under 37 C.F.R. §§  
1.16-1.18 for the entire prosecution of the above-referenced continuation application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Paul R. Morico", is written over a horizontal line.

Date: October 30, 2000

Paul R. Morico  
Reg. No. 35,960  
Baker Botts, L.L.P.  
910 Louisiana, 35th Floor  
Houston, Texas 77002-4995  
Tel: (713) 229-1732  
Fax: (713) 229-7732  
ATTORNEY FOR APPLICANTS

## FORMALITIES LETTER



\*OC000000005296923\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/587,722	06/05/2000	Joerg Winkler	A72399US

Paul R Morico  
Frohwitter  
Three Riverway Suite 500  
Houston, TX 77056

Date Mailed: 08/04/2000



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$330.
  - \$252 for 14 total claims over 20.
  - \$78 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1150.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

11/02/2000 GTEFFERA 00000045 09587722 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	252.00 OP

11/09/2000 GTEFFERA 00000133 09587722

01 FC:102	22.00 CH	58.00 OP
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